

29 March 2019

**Circular to Licensed Corporations Engaged in Asset Management**

**Survey on Integrating Environmental, Social and Governance Factors in**

**Asset Management**

The Securities and Futures Commission (SFC), as part of its Strategic Framework for Green Finance<sup>1</sup>, has launched a Survey on Integrating Environmental, Social and Governance Factors in Asset Management.

All corporations licensed for asset management are requested to complete the online survey on or before **23 April 2019**. The SFC will send a separate email with the survey link and login instructions.

The objective of the survey is to gain a better understanding of whether and how asset managers integrate environmental and climate change-related factors into their investment and risk management processes, post-investment ownership practices and disclosures. The survey will also gauge their expectations for listed companies' environmental, social and governance disclosures.

The SFC will conduct a similar survey of asset owners which participate in Hong Kong's markets.

The survey results, along with other engagement with the industry, will form the basis for the SFC to consider appropriate policies, codes and guidance.

PricewaterhouseCoopers Limited (PwC) has been engaged as an external consultant to conduct the surveys. For technical issues or questions about the contents of the survey, please email [HKSurveyEnquiries@hk.pwc.com](mailto:HKSurveyEnquiries@hk.pwc.com) with details and your contact information.

All information collected from the surveys will be treated in strict confidence.

Intermediaries Supervision Department  
Intermediaries Division  
Securities and Futures Commission

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<sup>1</sup> The SFC's Strategic Framework for Green Finance, published in September 2018, is available [here](#).